

3FORM PTO-1390  
REV. 5-93

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

P06,0000

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**10/566017**

INTERNATIONAL APPLICATION NO.  
**PCT/DE2004/001720**

INTERNATIONAL FILING DATE  
**30 July 2004**

PRIORITY DATE CLAIMED  
**01 August 2003**

TITLE OF INVENTION:

**"COUPLING CLOSURES AND DOCKING DEVICES COMPRISING SAID COUPLING CLOSURES"**

APPLICANT(S) FOR DO/EO/US

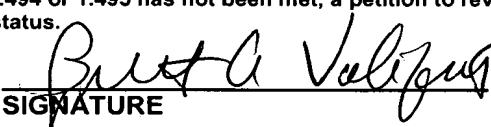
**Martin KOCH, Joachim STOYE, Stefan VOGLER**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Unexecuted**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification. **Marked up version of Substitute Specification**
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ **EXPRESS MAIL #EV 362546050 US Mailed January 25, 2006.**
  - b. ☐
  - c. ☐

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>10/566017</b>		INTERNATIONAL APPLICATION NO. <b>PCT/DE2004/001720</b>		ATTORNEY'S DOCKET NUMBER <b>P06,0000</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> (Search Report has been prepared by the EPO or JPO) ..... \$450.00 (Includes National Stage, Search, and Examination Fees)  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$450.00</b></div>				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	57-20 =	37	X \$25.00	<b>\$925.00</b>	
Independent Claims	6-3 =	3	X \$100.00	<b>\$300.00</b>	
Multiple Dependent Claims			\$180.00 +		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1675.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					
<b>SUBTOTAL =</b>				<b>\$1675.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1675.00</b>	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				<b>+</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1675.00</b>	
				Amount to be refunded	
				charged	
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1675.00</b> to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>501519</b> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1-137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:  <b>Schiff Hardin LLP</b> <b>Patent Department</b> <b>6600 Sears Tower</b> <b>Chicago, Illinois 60606-6473</b> <b>Customer Number 26574</b>			<div style="text-align: center;">             SIGNATURE   <b>Brett A. Valiquet</b>            NAME  <b>27,841</b>            Registration Number         </div>		

**CERTIFICATION OF MAILING** **JAN 25 2006**

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